

RESEARCH PAPER

Open Access



# A learned sparseness and IGMRF-based regularization framework for dense disparity estimation using unsupervised feature learning

Sonam Nahar<sup>1\*</sup>  and Manjunath V. Joshi<sup>2</sup>

## Abstract

In this work, we propose a new approach for dense disparity estimation in a global energy minimization framework. We propose to use a feature matching cost which is defined using the learned hierarchical features of given left and right stereo images and we combine it with the pixel-based intensity matching cost in our energy function. Hierarchical features are learned using the *deep deconvolutional network* which is trained in an unsupervised way using a database consisting of large number of stereo images. In order to perform the regularization, we propose to use the inhomogeneous Gaussian Markov random field (IGMRF) and sparsity priors in our energy function. A *sparse autoencoder*-based approach is proposed for learning and inferring the sparse representation of disparities. The IGMRF prior captures the smoothness as well as preserves sharp discontinuities while the sparsity prior captures the sparseness in the disparity map. Finally, an iterative two-phase algorithm is proposed to estimate the dense disparity map where in phase one, sparse representation of disparities are inferred from the trained sparse autoencoder, and IGMRF parameters are computed, keeping the disparity map fixed and in phase two, the disparity map is refined by minimizing the energy function using graph cuts, with other parameters fixed. Experimental results on the Middlebury stereo benchmarks demonstrate the effectiveness of the proposed approach.

**Keywords:** Stereo, Disparity, IGMRF, Sparsity, Unsupervised feature learning

## 1 Introduction

Stereo vision has been an active research area in the field of computer vision for more than three decades. It aims to find the 3D information of a scene by using two or more 2D images captured from different viewpoints. Stereo vision has a wide range of applications, including 3D reconstruction, video coding, view synthesis, object recognition, and safe navigation in spatial environments. The main goal of binocular stereo vision is to find corresponding pixels, i.e., pixels resulting from the projection of the same 3D point onto the two image planes. The displacement between corresponding pixels is called disparity, and obtaining disparity at each pixel location forms a dense disparity map. For simplicity, the stereo images are

rectified so that the corresponding points lie on the same horizontal epipolar line and this reduces the correspondence search to 1D.

In general, disparities are found by comparing pixel intensities or their features in the two images. However, estimation of disparities is an ill-posed problem due to depth discontinuities, photometric variation, lack of texture, occlusions etc., and a variety of approaches have been proposed for the same [1]. A comparison of current dense stereo algorithms is given in the Middlebury website [2]. Dense stereo matching algorithms can be classified into local and global methods. Local approaches aggregate the matching cost within a finite window and find the disparity by selecting the lowest aggregated cost. These methods assume that the disparity is the same over the entire window and hence produces unreliable matches in textureless regions and near depth discontinuities. Use of adaptive windows [3], multiple windows [4], adaptive weights [5],

\*Correspondence: sonam@lnmiit.ac.in

<sup>1</sup>The LNM Institute of Information Technology, Jaipur, India

Full list of author information is available at the end of the article

or bilateral filtering [6] in local methods reduce these effects but cannot avoid it completely. Global approaches tackle such problems by incorporating regularization such as explicit smoothness assumption and estimate the dense disparity map by minimizing an energy function. The most prominent stereo algorithms for minimizing the global energy function are based on graph cuts [7] and belief propagation [8] optimization methods. In general, the energy function represents a combination of a data term and a regularization term that restricts the solution space. Global approaches perform well in textured and textureless areas as well as at depth discontinuities. In this paper, we solve the dense disparity estimation problem in a global energy minimization framework.

### 1.1 Motivation and related work

Global stereo methods mainly focus on minimizing energy functions efficiently to improve performance. However, solutions with lower energy do not always correspond to better performance [9]. Therefore, it is important to define a proper energy function than to search for optimization techniques in order to improve the performance. Hence, in our work, we propose a new and a suitable energy function for estimating the dense disparity map in an energy minimization framework.

In the global stereo methods, the data term is generally defined by using the pixel-based matching cost between the corresponding pixels in the left and right images [1]. A pixel-based cost function determines the matching cost for disparity on the basis of a descriptor that is defined for one single pixel. Pixel-based cost function can be extended to patch (window)-based matching cost by integrating pixel-based costs within a certain neighborhood and such cost are based on census transform, normalized cross correlation, etc. [10]. Most of the pixel-based matching costs are built on the brightness constancy assumption and include absolute differences (AD), squared differences (SD), sampling insensitive absolute differences of Birchfield and Tomasi (BT), or truncated costs [10]. They rely on raw pixel values, and are less robust to illumination changes, view point variation, noise, occlusion, etc. One can represent stereo images in a better way by using a feature space where they are robust, distinct, and transformation invariant [11, 12]. Feature-based stereo methods use the features such as edges, gradients, corners, segments, or hand-crafted features such as scale-invariant feature transform (SIFT) [13, 14]. In order to obtain dense disparities, feature matching has been used in the global stereo framework. In [15] and [16], nonoverlapping segments of stereo images are used as features, and the dense stereo matching problem is cast as an energy minimization in segment domain instead of pixel domain where the disparity plane is assigned to each segment via graph cuts or belief propagation. These approaches assume that the

disparities in a segment vary smoothly which is not true in practice due to the depth discontinuities. Also, the solution here relies on the accuracy of segmentation which is itself a non trivial task. In [17], the sparse correspondences are found by feature points and then the dense correspondences are obtained from these sparse matches using the propagation and seed growing methods. In such approaches, the accuracy depends on the initial support points. In [18], the mutual information (MI)-based feature matching is used in a Markov random field (MRF) framework for estimating the dense disparities. However, matching with basic image features still results in ambiguities in correspondence search, especially for textureless areas and wide baseline stereo. Hence, to reduce these ambiguities, one needs to use more descriptive features. Recently in [19], authors proposed a SIFT flow algorithm for finding the dense correspondences by matching the SIFT descriptors while preserving spatial discontinuities using MRF regularization. In [20], a deformable spatial pyramid model is proposed in a regularization framework for estimating dense disparities using multiple SIFT features. Hand-crafted features of stereo images are designed and then embedded in an MRF model in [21]. The drawback of these approaches is that designing such features is computationally expensive, time consuming, and requires domain knowledge of the data.

In recent years, learning features from unlabeled data using unsupervised feature learning and deep learning approaches have achieved superior performance in solving many computer vision problems [22–25]. Feature learning is attractive as it exploits the availability of large amount of data and avoids the need of feature engineering. It has also attracted the attention of stereo vision researchers in recent years. The method proposed in [26] uses the deep convolutional neural network for learning similarity measure on small image patches, and the training is carried in a supervised manner by constructing a binary classification dataset with examples of similar and dissimilar pair of patches. Based on the learned similarity measure, the disparity map is estimated using state-of-the-art local stereo methods. Here, the learning is done on small size patches instead of entire image, i.e., global contextual constraint is not taken into account while learning the similarity measure. The method does not provide a single framework for dense disparity estimation though it improves the results of state of the art stereo methods. In this work, we focus on the approaches which use feature matching cost in a global energy minimization framework for estimating the dense disparities. In [27], authors proposed unsupervised feature learning for dense stereo matching within a energy minimization framework. They learn the features from a large amount of image patches using K-singular value decomposition (K-SVD) dictionary learning approach. The limitation of their approach is

that the features are learned from a set of image patches and do not consider the entire image, i.e., global contextual constraint is not taken into account while learning the features. Also, higher level features are not learned, instead, they are estimated using a simple max pooling operation from the layer beneath. Here, the higher layer correspondence matches are used to initialize the lower layer matching and hence the accuracy depends on the higher layer matches only. Recently, unsupervised feature learning and deep learning methods have shown superior performance in learning efficient representation of images at multiple layers [24, 28–33].

In this paper, we propose to use a feature matching cost which is defined using the learned hierarchical features of stereo image pair. In order to learn these hierarchical features, we propose to use a *deep deconvolutional network* [31], an unsupervised feature learning method. The deep deconvolutional network is trained over a large set of stereo images in an unsupervised way, which in turn results in a diverse set of filters. These learned filters capture image information at a different levels in the form of low-level edges, mid-level edge junctions, and high-level object parts. Features at each layer of deconvolutional network are learned in a hierarchy using the features in the previous layer. The deep deconvolutional network is quite different to the deep convolutional neural networks (CNN). Deep CNN is a bottom-up approach where an input image is subjected to multiple layers of convolutions, nonlinearities, and subsampling whereas deep deconvolutional network is a top-down approach where an input image is generated by a sum over convolutions of the feature maps with learned filters. Unlike deep CNN [33], the deep deconvolutional network does not spatially pool features at successive layers and hence preserves the mid-level cues emerging from the data such as edge intersections, parallelism, and symmetry. They scale well to complete images and hence learn the features for the entire input image instead of small size patches. It makes them to consider global contextual constraint while learning. In order to estimate the dense disparity map, we combine our learning-based multilayer feature matching cost with the pixel-based intensity matching cost and hence our data term has the sum of these costs.

Since the disparity estimation is an ill-posed problem, use of global stereo matching makes it better posed by incorporating a regularization prior in the energy function. Selection of the appropriate prior leads to a better solution. One common feature of the disparities is that they are piecewise smooth, i.e., they vary smoothly except at discontinuities, thus making them inhomogeneous. This spatial correlation among disparities can be captured by MRF-based models. It is well known that MRFs are the most general models used as priors during regularization when solving ill-posed problems [34]. Hence, many of

the current better-performing global stereo methods are based on the MRF formulations as noted in [1]. Homogeneous MRF models tend to oversmooth the disparity map and fail to preserve the discontinuities [35]. Hence, a better model would be one that reconstructs the smooth disparities while preserving the sharp discontinuities. In order to achieve this, variety of discontinuity preserving MRF priors are used in global stereo methods as proposed in [36–40]. Many of these techniques use single or a set of global MRF parameters which are either manually tuned or estimated. These global parameters may not adapt to the local structure of the disparity map and hence fail to better capture the spatial dependence among disparities. We need a prior that considers the spatial variation among disparities locally. This motivates us to use an inhomogeneous Gaussian markov random field (IGMRF) prior in our energy function which was first proposed in [41] for solving the satellite image deblurring problem. IGMRF can handle smooth as well as sharp changes in disparity map because the local variation among disparities is captured using IGMRF parameters at each pixel location. In our approach, the IGMRF parameters are not known and are estimated.

Although IGMRF prior captures the smoothness with discontinuities, it fails to capture additional structure such as sparseness in the disparity map. In general, disparity maps are made up of homogeneous regions with limited number of discontinuities resulting in redundancy. Because of this, one can represent the disparities in a domain in which they are sparse. This transform domain representation can be obtained using the fixed set of basis such as discrete cosine transform (DCT), discrete wavelet transform (DWT), or it can be learned as an overcomplete dictionary using large number of true disparities. In [42], the disparities are reconstructed from few disparity measurements using the concepts of compressive sensing. Here, the sparseness is represented over a fixed wavelet basis and the accuracy of disparity estimation depends on the reliable measurements. Learned sparseness using the overcomplete dictionary has been successfully used as regularization for solving the inverse problems [43, 44]. The advantage of using a learned dictionary is that the representation would be more accurate than obtained with the use of fixed basis and this is done by adapting its atoms to fit a given training data [45]. Recently in [46], authors proposed a two-layer graphical model for inferring the disparity map by including a sparsity prior over learned sparse representation of disparities in an existing MRF-based stereo matching framework. Here, the sparse representation of disparities are inferred by a dictionary which is learned using a sparse coding technique which can cope up with non stationary depth estimation errors. Although it performs better when compared to discontinuity preserving homogeneous MRF prior, the

solution can be improved by using inhomogeneous MRF prior. Also, their method is complex and computationally intensive.

A practical problem with dictionary learning techniques is that they are computationally expensive because the dictionaries are learned by iteratively recovering sparse vectors and updating the dictionary atoms [45, 46]. Though these methods perform well in practice, they use a linear structure. Recent research suggests that non-linear, neural networks can achieve superior performance in learning efficient representation of images [22, 24, 28, 29]. One example of these networks is a sparse autoencoder. It encodes the input data with a sparse representation in hidden layer and is trained using a large database of unlabeled images [29]. Sparse autoencoders are very efficient and they can be easily generalized to represent complicated models. In this paper, we propose to use the sparse autoencoder for learning and inferring the sparse representation of disparity map. The sparse autoencoder is trained using a large set of true disparities. We define a sparsity prior using the learned sparseness of disparities and incorporate this prior in addition to IGMRF prior in our energy function. Such sparsity priors capture higher order dependencies in the disparity map and complement the IGMRF prior.

In order to obtain the dense disparity map, we propose an iterative two-phase algorithm. In phase one, sparseness is inferred using the learned weights of the sparse autoencoder, and IGMRF parameters are computed based on the current estimate of disparity map, while in the second phase, the disparity map is refined by minimizing the energy function with other parameters fixed. We use graph cuts [7] as an optimization technique for minimizing our proposed energy function. Our experimental results demonstrate the effectiveness of our learning-based feature matching cost, IGMRF prior, and sparsity prior when used in an energy minimization framework. The experiments indicate that our method generates the state-of-the-art result and can compete the state-of-the-art global stereo methods.

The outline of the paper is as follows. In the “Problem formulation” section, we formulate our problem of dense disparity estimation in an energy minimization framework. In the “Deep deconvolutional network for extracting hierarchical features” section, we present the deep deconvolutional network model for learning the hierarchical features of stereo images and then discuss the formation of our learning-based multilayer feature matching cost. The IGMRF prior model and estimation of IGMRF parameters are addressed in the “IGMRF model for disparity” section. In “Sparse model for disparity” section, we discuss the sparse autoencoder for learning and inferring the sparse representation of disparities and then discuss the formation of sparsity prior. The

formation of final energy function and the proposed algorithm for dense disparity estimation are discussed in the “Dense disparity estimation”. The experimental results and the performance of the proposed approach are dealt in the “Experimental results” section, and concluding remarks are drawn in the “Conclusion” section.

## 2 Problem formulation

In this paper, our main goal is to find the disparity map  $d \in \mathbb{R}^{M \times N}$  for a given rectified pair of stereo images, left image  $I_L \in \mathbb{R}^{M \times N}$  and right image  $I_R \in \mathbb{R}^{M \times N}$ . In other words, we wish to compute the disparity  $d(x, y)$  at every pixel location  $(x, y)$  in the reference image such that pixels in  $I_L$  project to their corresponding pixels in the right image  $I_R$  when the correct disparity is selected. In the framework of global approach, the dense stereo matching problem is formulated in terms of energy minimization where the objective is to estimate the disparity map  $d$  by minimizing the following energy function:

$$E(d) = E_D(d) + E_P(d), \quad (1)$$

where the data term  $E_D(d)$  measures how well the  $d$  to be estimated agrees with  $I_L$  and  $I_R$  of a scene. The prior term  $E_P(d)$  measures how good it matches with the prior knowledge about the disparity map. For finding the correspondences, we consider search from left to right as well as from right to left and hence relax the traditional ordering constraint used in disparity estimation.

In our work, the data term is defined as a sum of the intensity and feature matching costs i.e.,

$$E_D(d) = E_I(d) + \mu E_F(d), \quad (2)$$

where  $\mu$  controls the weightage of  $E_F(d)$ . For a given  $d$ , the intensity matching cost  $E_I(d)$  measures the dissimilarity between the corresponding pixel intensities of  $I_L$  and  $I_R$ , while the feature matching cost  $E_F(d)$  measures the dissimilarity between the corresponding learned features of  $I_L$  and  $I_R$ . In order to define  $E_I(d)$ , we use the robust and sampling insensitive measure proposed by Birchfield and Tomasi (BT) in [47]. At pixel location  $(x, y)$  having disparity  $d(x, y)$ , it is given as minimum absolute intensity difference between  $I_L(x, y)$  and  $I_R(x + d(x, y), y)$  in the real valued range of disparities along the epipolar line and hence can be written as:

$$E_I(d) = \sum_{(x,y)} \min \left( \left( \min_{d(x,y) \pm \frac{1}{2}} |I_L(x, y) - I_R(x + d(x, y), y)| \right), \tau^I \right), \quad (3)$$

where  $\tau^I$  is the truncation threshold which is used to make intensity matching cost more robust against outliers. For defining the feature matching cost  $E_F(d)$ , we extract the features of stereo image pair at multiple layers

of deep deconvolutional network and is discussed in the next section.

In order to perform the regularization, we model  $d$  using its prior characteristics and form the energy term  $E_P(d)$ . We define  $E_P(d)$  as a sum of IGMRF and sparsity priors, and it is given as:

$$E_P(d) = E_{\text{IGMRF}}(d) + \gamma E_{\text{sparse}}(d), \quad (4)$$

where  $E_{\text{IGMRF}}(d)$  and  $E_{\text{sparse}}(d)$  represent the IGMRF and sparsity prior terms, respectively. Here,  $\gamma$  controls the weightage of the term  $E_{\text{sparse}}(d)$ .

### 3 Deep deconvolutional network for extracting hierarchical features

In this section, we first describe the method of learning the hierarchical features of a given stereo pair and then describe how these features are used to define our feature matching cost  $E_F(d)$ .

Deconvolutional network [31] is an unsupervised feature learning model that is based on the convolutional decomposition of images under sparsity constraint and generates sparse, overcomplete features. Stacking such network in a hierarchy results in a deep deconvolutional network. Layers of such network learn both the filters and features as done in an image deconvolution problem in which given a degraded image, the task is to estimate both the blur kernel and the restored image. In order to explain how deep deconvolutional network extract hierarchical features, we first consider a deep deconvolutional network consisting of a single layer. To train this network for extracting features, a training set consisting of large number of stereo images  $\mathcal{I} = \{I^1, \dots, I^{m_s}\}$  are used where each image  $I^i$  is considered as an input to the network. Here,  $m_s$  is the number of images in the training set  $\mathcal{I}$ , and we consider only left images of different scenes for training the network. Note that one may use right stereo images as well. Let  $P_1$  be the number of 2D feature maps in a first layer. Considering the input at layer 0, we can write each image  $I^i$  as composed of  $P_0$  channels  $\{I^i_1, \dots, I^i_{P_0}\}$ . For example, if we consider a color image, then we have  $P_0=3$ . Each channel  $c$  of input image  $I^i$  can be represented as a linear sum of  $P_1$  feature maps  $s^i_p$  convolved with filters  $f_{p,c}$  i.e.,

$$\sum_{p=1}^{P_1} s^i_p \oplus f_{p,c} = I^i_c, \quad (5)$$

where  $\oplus$  represents the 2D convolution operator. Note that in this work, we use gray scale stereo images only and hence  $P_0 = 1$ . Equation (5) represents an underdetermined system since both the features and filters are unknown and hence to obtain a unique solution, a regularization term is also added that encourages sparsity in the latent feature

maps. This gives us an overall cost function for training a single-layer deconvolutional network as:

$$C_1(\mathcal{I}) = \sum_{i=1}^{m_s} \left[ \frac{\alpha}{2} \sum_{c=1}^{P_0} \left\| \sum_{p=1}^{P_1} s^i_p \oplus f_{p,c} - I^i_c \right\|_2^2 + \sum_{p=1}^{P_1} |s^i_p|^1 \right]. \quad (6)$$

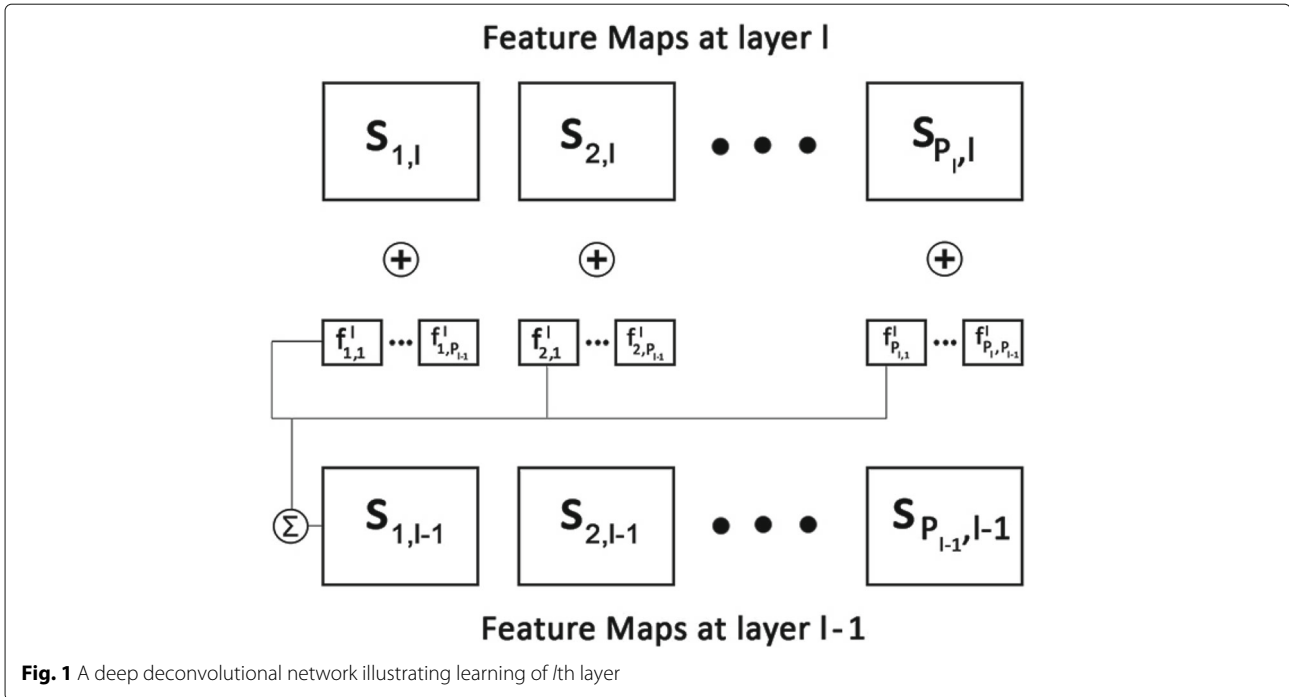
Here,  $|s^i_p|^1$  is the  $L_1$ -norm on the vectorized version of  $s^i_p$ . The relative weighting of the reconstruction error of each  $I^i$  and sparsity of their feature maps  $s^i_p$  is controlled by the parameter  $\alpha$ . This network is learned by minimizing  $C_1(\mathcal{I})$  with respect to  $s^i_p$ s and  $f_{p,c}$ s when the input to network is  $\mathcal{I}$ . Note that the set of filters  $f_{p,c}$  are the parameters of the network, common to all images in the training set while each image has its own set of feature maps  $s^i_p$ .

The single-layer network described above can be stacked to form a deep deconvolutional network consisting of multiple layers. Let the deep network is formed by  $NL$  number of layers, ( $l = 1 \dots NL$ ). This hierarchy is achieved by considering the feature maps of layer  $l-1$  as the input to layer  $l$ ,  $l > 0$ . Let  $P_{l-1}$  and  $P_l$  the number of feature maps at layer  $l-1$  and  $l$ , respectively. The cost function for training the  $l$ th layer of a deep deconvolutional network can be written as a generalization of Eq. (6) as:

$$C_l(\mathcal{I}) = \sum_{i=1}^{m_s} \left[ \frac{\alpha}{2} \sum_{c=1}^{P_{l-1}} \left\| \sum_{p=1}^{P_l} g^l_{p,c} (s^i_{p,l-1} \oplus f^l_{p,c}) - s^i_{c,l-1} \right\|_2^2 + \sum_{p=1}^{P_l} |s^i_{p,l}|^1 \right], \quad (7)$$

where  $s^i_{c,l-1}$  and  $s^i_{p,l}$  are the feature maps of image  $I^i$  at layer  $l-1$  and  $l$ , respectively, and thus, it shows that layer  $l$  has as its input coming from  $P_{l-1}$  channels.  $f^l_{p,c}$  are the filters at layer  $l$  and  $g^l_{p,c}$  are the elements of a fixed binary matrix that determine the connectivity between the feature maps at successive layers, i.e., whether  $s^i_{p,l}$  is connected to  $s^i_{c,l-1}$  or not. For  $l = 1$ , we assume that  $g^l_{p,c}$  is always 1, but for  $l > 1$ , we make this connectivity as sparse. Since  $P_l > 1$ , the model learns overcomplete sparse, feature feature maps. This structure is illustrated in Fig. 1.

A deep deconvolutional network consisting of  $NL$  number of layers is trained upwards in a layer-wise manner starting with the first layer ( $l = 1$ ) where the inputs are the training images  $\mathcal{I}$ . Each layer  $l$  is trained in order to learn a set of filters  $f^l_{p,c}$  which is shared across all images in  $\mathcal{I}$  and infer the set of feature maps  $s^i_{p,l}$  of each image  $I^i$  in  $\mathcal{I}$ . To learn the filters, we alternately minimize  $C_l(\mathcal{I})$  w.r.t. the filters and feature maps by keeping one of them constant while minimizing the other. We follow the optimization scheme as proposed in [31].



### 3.1 Feature encoding

Once the deep deconvolutional network is trained, we can use it to infer the multilayer features of a given left  $I_L$  and right  $I_R$  stereo images for which we want to estimate the dense disparity map. The network described above is top-down in nature, i.e., given the latent feature maps, one can synthesize an image but there is no direct mechanism for inferring the feature maps of a given image without minimizing the cost function given in Eq. (7). Hence, once the network is learned/trained, we apply given  $I_L$  and  $I_R$  separately as input image to the trained deep deconvolutional network with the fixed set of learned filters and infer the feature maps  $s_{p,l}^{I_L}$  and  $s_{p,l}^{I_R}$  of  $I_L$  and  $I_R$  at layer  $l$ , respectively, by minimizing the cost functions  $C_l(I_L)$  and  $C_l(I_R)$ , respectively. Once, they are learned, we create a feature vector at each pixel location in  $I_L$  and  $I_R$  separately. In order to obtain the features of  $I_L$  at a layer  $l$ , we stack the  $P_l$  number of inferred feature maps  $s_{p,l}^{I_L}$  and obtain a single feature map  $Z_l^{I_L}$  where at each pixel location  $(x, y)$  in  $Z_l^{I_L}$ , we get a feature vector of dimension  $P_l \times 1$ . Similarly, using the same process we obtain the features of  $I_R$ . Thus,  $Z_l^{I_L}$  and  $Z_l^{I_R}$  represents the  $l$ th layer features of  $I_L$  and  $I_R$ , respectively.

### 3.2 Defining $E_F(d)$

Once the multi-layer features of  $I_L$  and  $I_R$  are obtained, we can define our feature matching cost  $E_F(d)$  as:

$$E_F(d) = \sum_{l=1}^{NL} \sum_{(x,y)} \min \left( |Z_l^{I_L}(x, y) - Z_l^{I_R}(x + d(x, y), y)|, \tau^F \right). \quad (8)$$

At each pixel location  $(x, y)$  having disparity  $d(x, y)$ , it measures the absolute distance between the feature vector  $Z_l^{I_L}(x, y)$  and corresponding matched feature  $Z_l^{I_R}(x + d(x, y), y)$ . Here,  $\tau^F$  is the truncation threshold which is used to make feature matching cost more robust against outliers and  $NL$  is the number of layers in the network. These multiple layers feature matching technique highly constrains the solution space and hence results in unambiguous and accurate disparities.

In our energy function, the data term  $E_D(d)$  is not constructed using the feature matching cost  $E_F(d)$  only because the deep deconvolutional network extracts the sparse (significant) features in stereo images at few locations such as edges, corners, junctions. If one uses feature matching cost as a data term, then at those pixel locations where the features are not significant, it results in ambiguous disparity estimates. One can obtain the disparities only at the pixel locations where significant features have been obtained. However, this results in a sparse disparity map. Our goal here is estimate the dense disparity map, i.e., finding the disparity at every pixel location. Although this can be obtained simply by interpolating the sparse disparity, it leads to inaccurate disparities at occluded regions and disparity discontinuities. Since we use intensity term as well, the intensity values are available at every pixel location, giving us a dense disparity map. Hence, in our work, we define our data term using a combination of intensity and feature matching costs. The combination of intensity and features matching not only produce dense disparities but also better constrains the solution and hence results in accurate disparity map.

#### 4 IGMRF model for disparity

Object distances from the camera, i.e., depths are inversely proportional to disparities and hence are made up of various textures, sharp discontinuities as well as smooth areas making them inhomogeneous. In our work, we use an IGMRF prior model which can adapt to the local structure of the disparity map, i.e., enforces the smoothness in disparities while preserving the discontinuities. IGMRF-based prior model has been successfully used in solving satellite image deblurring problem [41], multiresolution fusion of satellite images [48], and super-resolution of images [49]. For modeling IGMRF,  $E_{\text{IGMRF}}(d)$  is chosen as the square of finite difference approximation to the first-order derivative of disparities. Considering the differentiation in horizontal and vertical directions at each pixel location, one can write  $E_{\text{IGMRF}}(d)$  as [41]:

$$E_{\text{IGMRF}}(d) = \sum_{(x,y)} b_{(x,y)}^X (d(x-1, y) - d(x, y))^2 + b_{(x,y)}^Y (d(x, y-1) - d(x, y))^2. \quad (9)$$

Here,  $b^X$  and  $b^Y$  are the spatially adaptive IGMRF parameters in horizontal and vertical directions, respectively. Thus,  $\{b_{(x,y)}^X, b_{(x,y)}^Y\}$  forms a 2D parameter vector of IGMRF at each pixel location  $(x, y)$  in the disparity map. A low value of  $b$  indicates the presence of an edge between two neighboring disparities. These parameters help us to obtain a solution which is less noisy in smooth areas and preserve the depth discontinuities in other areas. The IGMRF parameters at each pixel location  $(x, y)$  are estimated using the maximum likelihood estimation (MLE) and are computed as [41]:

$$b_{(x,y)}^X = \frac{1}{\max(4(d(x-1, y) - d(x, y))^2, 4)}. \quad (10)$$

$$b_{(x,y)}^Y = \frac{1}{\max(4(d(x, y) - d(x, y-1))^2, 4)}. \quad (11)$$

In order to avoid computational difficulty, we set an upper bound  $b = 1/4$  whenever gradient becomes zero, i.e., whenever the neighboring disparities are the same.

In order to estimate IGMRF parameters, we need the true disparity map which is unknown and has to be estimated. Therefore, to start the regularization process, we use an initial estimate of disparity map obtained using a suitable approach and compute these parameters which are then used to estimate the  $d$ . In our proposed algorithm, these parameters and  $d$  are refined alternatively and iteratively for obtaining the better  $d$ .

#### 5 Sparse model for disparity

In order to model the higher order dependencies in the disparity map, we model the disparity map in our energy

function by another prior called sparsity prior  $E_{\text{sparse}}(d)$ . The sparsity prior regularizes the solution by modeling the sparseness in  $d$ . In this work, we present a novel method for learning and inferring the sparse representation of disparities using sparse autoencoder, which is then used to define the sparsity prior. An autoencoder is an artificial neural network (ANN) which sets the desired output same as the input and has one hidden layer [29]. It comprises of an encoder that maps an input vector to a hidden representation and a decoder that maps this hidden representation back to a reconstructed input. In reality, finding the sparse representation of a disparity map is computationally expensive, and therefore, a better choice would be to find the sparse representation of disparity patches of small size individually and average the resultant sparse patches at the end in order to get complete sparse representation of disparity map.

Let the input to an autoencoder be a disparity patch of size  $\sqrt{n} \times \sqrt{n}$  pixels, extracted at location  $(x, y)$  in  $d$  and it is ordered lexicographically as column vector  $d^{(x,y)} \in \mathbb{R}^n$ . Also, let the corresponding hidden representation of  $d^{(x,y)}$  at hidden layer be  $a^{(x,y)} \in \mathbb{R}^K$  and the reconstructed output be  $\tilde{d}^{(x,y)} \in \mathbb{R}^n$ . Thus, the number of units at input, hidden, and output layers are  $n, K$ , and  $n$ , respectively. The autoencoder has weights  $(W, U, r, s)$ , where  $W \in \mathbb{R}^{n \times K}$  is the encoder weight matrix between the input and hidden layers,  $U \in \mathbb{R}^{K \times n}$  is the decoder weight matrix between the hidden and output layers, and  $r \in \mathbb{R}^K$  and  $s \in \mathbb{R}^n$  are the bias weight vectors for hidden and output layers, respectively. For a fixed set of weights  $(W, U, r, s)$ , the  $a^{(x,y)}$  and  $\tilde{d}^{(x,y)}$  can be computed as follows:

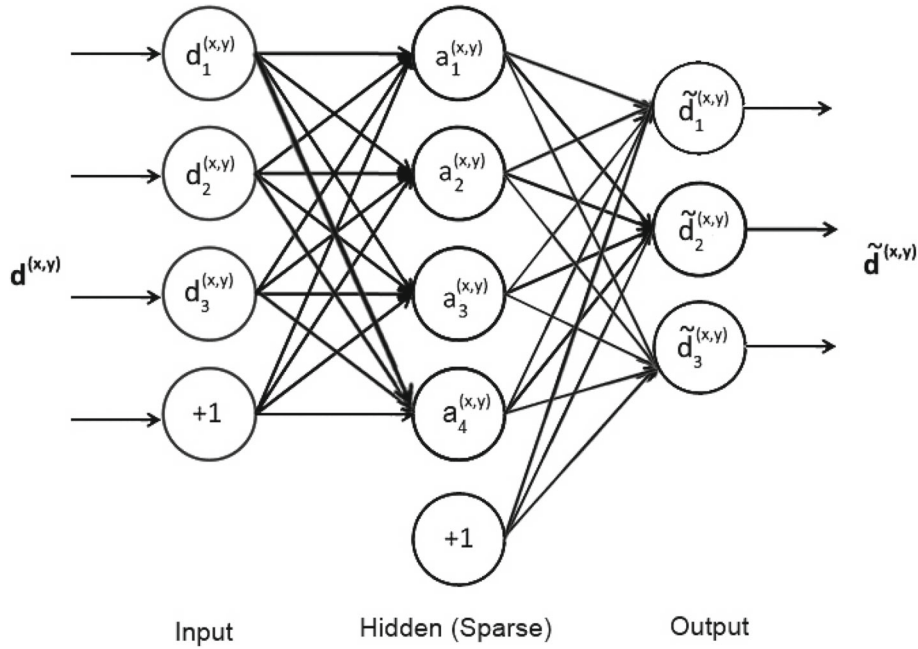
$$a^{(x,y)} = f(W^T d^{(x,y)} + r), \quad (12)$$

$$\tilde{d}^{(x,y)} = f(U^T a^{(x,y)} + s), \quad (13)$$

where  $f$  is an activation function and we use sigmoid for this. An autoencoder is called as sparse autoencoder when the sparsity constraint is imposed on its hidden layer. Sparse autoencoder learns an overcomplete sparse representation of data in the hidden layer when the number of hidden units  $K$  are greater than the number of input units  $n$ , i.e.,  $K > n$ . An example of a sparse autoencoder is shown in Fig. 2.

Let  $a_j^{(x,y)}$  be the activation of hidden unit  $j$ . A sparsity constraint on the activations of hidden units are imposed by forcing them to be inactive most of the time. A unit is active when its activation value is close to one and inactive when it is close to zero. We define  $\rho$  as a global sparsity parameter for all hidden units, typically a small value close to zero. Let  $\hat{\rho}_j$  be the average activation of hidden unit  $j$  (averaged over training set). Then, the sparsity constraint





**Fig. 2** A sparse autoencoder with  $n = 3$  and  $K = 4$ . Here  $+1$  represents a bias unit

for each  $j$ th hidden unit is enforced by a penalty term which penalizes  $\hat{\rho}_j$  deviating significantly from  $\rho$  as:

$$\sum_{j=1}^K KL(\rho || \hat{\rho}_j) = \sum_{j=1}^K \rho \log \frac{\rho}{\hat{\rho}_j} + (1 - \rho) \log \frac{1 - \rho}{1 - \hat{\rho}_j}, \quad (14)$$

where  $KL(\rho || \hat{\rho}_j)$  is the Kullback-Leibler (KL) divergence. This term has a value 0, if  $\hat{\rho}_j = \rho$ ; otherwise, it increases monotonically as  $\hat{\rho}_j$  diverges from  $\rho$ .

Consider a training set consisting of large number of disparity patches  $\mathcal{G} = \{d^{(1)}, d^{(2)}, \dots, d^{(m_d)}\}$ , with each patch  $d^{(i)} \in \mathbb{R}^n$ . One can extract these disparity patches from the available ground truth disparity maps. Using the known disparity patches, we can train the sparse autoencoder to learn the weights  $(W, U, r, s)$ . To do this, the following objective function is formed using Eqs. (12), (13), and (14) as:

$$\begin{aligned} \frac{1}{m} \sum_{i=1}^{m_d} & \left( \frac{1}{2} \|d^{(i)} - f(U^T (f(W^T d^{(i)} + r)) + s)\|_2^2 \right. \\ & + \frac{\lambda}{2} \left( \sum_{i=1}^n \sum_{j=1}^K (W_{ij})^2 + \sum_{i=1}^n \sum_{j=1}^K (U_{ij})^2 \right) \\ & \left. + \beta \sum_{j=1}^K KL(\rho || \hat{\rho}_j) \right). \quad (15) \end{aligned}$$

Here, the first term represents the average reconstruction error over all training inputs. The second term is a

regularization term on the weights to prevent the overfitting by making them smaller in magnitude, and  $\lambda$  controls the relative importance of this term.  $\beta$  controls the weightage of the third term which corresponds to sparsity penalty term. We minimize this Eq. (15) w.r.t.  $W, U, r, s$  using well known back propagation algorithm [50].

Once the autoencoder is trained,  $d$  can be modeled by the sparsity prior  $E_{\text{sparse}}(d)$  as follows:

$$E_{\text{sparse}}(d) = \sum_{(x,y)} \|d^{(x,y)} - f(U^T a^{(x,y)} + s)\|_2^2. \quad (16)$$

$E_{\text{sparse}}(d)$  measures how well each disparity patch at location  $(x, y)$  in  $d$  agrees with its sparse representations. In our proposed approach, the disparity map and its sparse representation are inferred alternatively.

## 6 Dense disparity estimation

$$\begin{aligned} E(d) = \sum_{(x,y)} \min & \left( \left( \min_{d(x,y) \pm \frac{1}{2}} |I_L(x, y) - I_R(x + d(x, y), y)| \right), \tau^I \right) \\ & + \mu \sum_{l=1}^{NL} \sum_{(x,y)} \min \left( |Z_l^L(x, y) - Z_l^R(x + d(x, y), y)|, \tau^F \right) \\ & + \sum_{(x,y)} \left( b_{(x,y)}^X (d(x-1, y) - d(x, y))^2 + b_{(x,y)}^Y (d(x, y-1) \right. \\ & \left. - d(x, y))^2 \right) + \gamma \sum_{(x,y)} \|d^{(x,y)} - f(U^T a^{(x,y)} + s)\|_2^2. \quad (17) \end{aligned}$$



Our main goal is to estimate the dense disparity map using a given pair of stereo images in an energy minimization framework. Our data term defined in Eq. (2) is formed by adding intensity and feature matching costs using Eqs. (3) and (8), respectively. Similarly, our prior energy term defined in Eq. (4) is formed by adding the IGMRF and sparsity priors using Eqs. (9) and (16), respectively. Finally, our proposed energy function defined in Eq. (1) can be rewritten as given in Eq. (17) and we minimize it using graph cuts optimization based on  $\alpha$ - $\beta$  swap moves [7]. We do not consider the occlusions explicitly but they are handled by clipping matching costs using thresholds  $\tau = \{\tau^I, \tau^F\}$  that prevents the outliers from disturbing the estimation (see Eqs. (3) and (8)).

In order to estimate the dense disparity map, we propose an iterative two-phase algorithm. It proceeds with the use of an initial estimate of disparity map and iterates and alternates between two phases until convergence as given in Algorithm 1. We use a classical local stereo method [1] for obtaining the initial disparity map in which the *absolute intensity differences* (AD) with truncation, aggregated over a fixed window is used as matching cost. In order to reduce computation time, we optimize this cost by graph cuts instead of the classic *winner take all* (WTA) optimization. Postprocessing operations such as left-right consistency check, interpolation, and median filtering [1] are applied in order to obtain a better initial estimate for faster convergence while regularizing. However, any other suitable disparity estimation method can also be used in obtaining the initial estimate.

---

**Algorithm 1:** Proposed algorithm

---

- Input:** Stereo image pair  $I_L$  and  $I_R$ , a set of ground truth disparity patches  $\mathcal{G}=\{d^{(1)}, d^{(2)}, \dots, d^{(m_d)}\}$ , and a set of stereo images  $\mathcal{I}=\{I^1, \dots, I^{m_s}\}$ .
- 1 Train a sparse autoencoder using  $\mathcal{G}$  by minimizing Eq.(15) and obtain weights  $(W, U, r, s)$ ;
  - 2 Train a deep deconvolutional network consisting of  $NL$  number of layers, by minimizing Eq.(7) for each layer  $l$  and learn a set of filters;
  - 3 Infer the multi-layer features  $Z_l^L$  and  $Z_l^R$  of  $I_L$  and  $I_R$ , respectively ( $l = 1 \dots NL$ );
  - 4 Obtain an initial disparity map  $d_0$ ;
  - 5 Initialization:  $d = d_0$ ;
  - 6 **repeat**
  - 7   **Phase 1:** With  $d$  being fixed, infer the sparse vector  $a^{(x,y)}$  for each disparity patch  $d^{(x,y)}$  in  $d$  using Eq.(12). Compute IGMRF parameters  $b_{(x,y)}^X$  and  $b_{(x,y)}^Y$  using Eqs.(10) and (11), at each pixel location;
  - 8   **Phase 2:** With  $\{a^{(x,y)}\}, \{b_{(x,y)}^X, b_{(x,y)}^Y\}$  fixed as obtained in phase 1, minimize the Eq.(17) for  $d$  using graph cuts;
  - 9 **until** convergence;
- 

In general, for nonconvex energy functions, graph cuts result in a local minimum that is within a known factor of global minimum. In order to ensure global minimum, we use an iterative optimization with proper settings of parameters. At every iteration, the IGMRF parameters and sparseness are refined in order to obtain better disparity estimates (converging towards global optima). The number of iterations may vary for different stereo pairs and the choice of initial estimate.

## 7 Experimental results

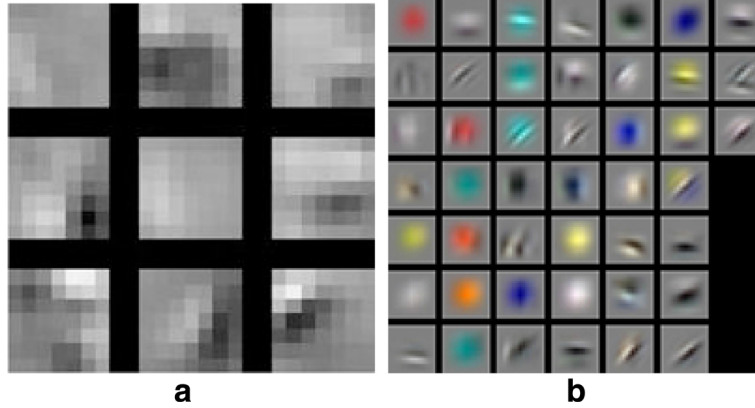
In this section, we demonstrate the efficacy of the proposed method by conducting various experiments and evaluating our results on the Middlebury stereo benchmark images [2]. In order to perform the quantitative evaluation, we use the percentage of bad matching pixels ( $B\%$ ) as the error measure with a disparity error tolerance  $\delta$ . The error measure is computed over the entire image as well as in the nonoccluded regions. For an estimated disparity map  $d$ , the  $B\%$  is computed with respect to the ground truth disparity map  $g$  as follows [1]:

$$B = \frac{1}{M * N} \sum_{(x,y)} |d(x,y) - g(x,y)| > \delta, \quad (18)$$

In this work, all the experiments were conducted on a computer with Core i7-3632QM, 2.20 GHz processor and 8.00 GB RAM.

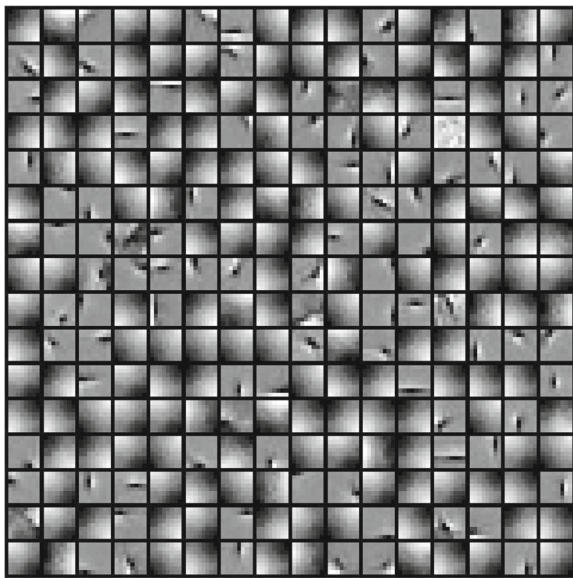
### 7.1 Parameter settings

We first provide the details of various parameters used in training the deep deconvolutional network. A two-layer deep deconvolutional network was trained over  $m_s=75$  left stereo images obtained from the Middlebury 2005 and 2006 datasets and Middlebury 2014 training dataset [2]. Considering  $NL = 2$  i.e., for a two-layer deep architecture, we set the number of feature maps as  $P_1 = 9$  and  $P_2 = 45$ , respectively. The feature maps at layer 1 were fully connected to the input having single channel. In order to reduce the computations, each feature map in layer 1 was connected to any nine feature maps in layer 2. In other words, 36 feature maps in layer 2 were connected to a pair of maps in layer 1 and remaining 9 were singly connected. In this way, we obtained 9 and  $36*2 + 9 = 81$  filters at layers 1 and 2, respectively. The parameter  $\alpha$  in Eq. (7) was set as 1 and the filters of size  $7 \times 7$  were learned. These parameters were manually set as per the experimental settings done in [31] except that we used gray scale stereo images for training, i.e.,  $P_0=1$ . With these parameter settings, our two-layer network was trained to obtain the set of filters. The learned filters at the first and second layers are shown in Fig. 3 where the first layer learns Gabor like filters, and the filters in the second layer lead to mid-level features such as center-surround corners, T and angle-junctions, and curves.



**Fig. 3** Filters learned at first and second layers of deep deconvolutional network. **a** Number of filters learned at first layer are 9. **b** Number of filters learned at second layer are 81 where 36 filters in pair are shown in color and remaining 9 filters are shown as gray scale

We now provide the parameters used while training the sparse autoencoder. We trained the sparse autoencoder using a set of  $m_d = 5 \times 10^5$  true disparity patches of the stereo images used during the training of deep deconvolutional network. The size of each disparity patch was chosen as  $8 \times 8$ , i.e.,  $n = 64$ . In order to achieve the over-completeness in hidden layer, we set  $K=4 * n$ , i.e., the number of hidden units were  $K = 256$ . The parameters in Eq. (15) were empirically chosen as  $\lambda = 10^{-4}$ ,  $\beta = 0.1$ , and  $\rho = 0.01$ . With these parameter settings, the sparse autoencoder was trained to obtain the weights ( $W, U, r, s$ ). The learned weights  $W$  between the input and the hidden layers are shown in Fig. 4.



**Fig. 4** Learned weights  $W$  between the input and the hidden layer in the trained sparse autoencoder. Here, each square block is of size  $8 \times 8$  which shows the weights between a hidden unit and each input unit. Note that there are 256 hidden and 64 input units

Note that the training of deep deconvolutional network and the autoencoder is an offline operation, and hence, they do not add to the computational complexity. In order to estimate the dense disparity map, we experimented on the *Venus*, *Cones*, and *Teddy* stereo pairs, belonging to Middlebury stereo 2001 and 2003 datasets [2] which were different from the training datasets used earlier. We also performed the experiments using the recently released Middlebury stereo 2014 (version 3) dataset. Our algorithm was initialized with the initial estimate of disparity map and the algorithm converged within five iterations for all the stereo pairs used in our experiments. While minimizing Eq. (17), the data cost thresholds  $\{\tau^I, \tau^F\}$  were set as 0.08 and 0.04, respectively, and the parameter  $\mu$  was chosen as 1. The parameter  $\gamma$  was initially set to  $10^{-4}$  and exponentially increased at each iteration from  $10^{-4}$  to  $10^{-1}$ . We used the same parameters for all the experiments, and this demonstrates the robustness of our method.

## 7.2 Performance evaluation using different data terms $E_D(d)$ with IGMRF prior

As discussed earlier, the data term  $E_D(d)$  in our energy function is defined using a combination of  $E_I(d)$  and  $E_F(d)$ . In order to demonstrate the effectiveness of our proposed data term, we consider the energy functions consisting of different data terms  $E_D(d)$  and IGMRF prior only. Note that we do not consider the sparsity prior here. We then compare the performance using the proposed  $E_D(d)$  with  $E_D(d)$  made up of traditional pixel based data terms such as AD and BT. We also consider BT+gradient data term for comparison where the BT is combined with gradient-based feature matching. Note that our intensity matching cost  $E_I(d)$  is made up of BT. Since, in the proposed method, we use  $\{\tau^I, \tau^F\}$  for data cost truncation and hence in order to perform a fair comparison, data terms

**Table 1** Performance evaluation in terms of percentage of bad matching pixels computed over the whole image with  $\delta = 1$ . Here, the optimization of energy function is carried out using different data terms  $E_D(d)$  with IGMRF as prior term  $E_P(d)$

$E_D(d)$	Venus	Teddy	Cones
AD	1.90	16.49	12.14
BT	0.95	15.67	11.89
BT+gradient	0.89	14.9	11.32
$E_I(d) + E_F(d)$	0.40	11.41	9.98

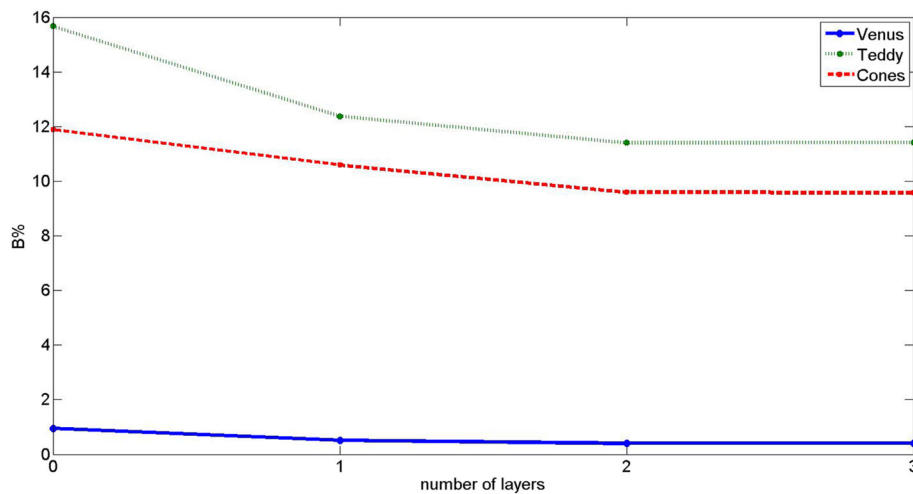
of the other methods are also used with truncation on their costs. The results of these experiments are summarized in Table 1. The results show that the approach using proposed  $E_D(d)$  outperforms those with traditional pixel-based  $E_D(d)$ . These results show the effectiveness of using the learning-based multilayer feature matching cost  $E_F(d)$  in our approach. In other words, when the intensity and the learning-based feature matching are combined, the estimated disparities are more robust and accurate. The results also show that data term defined using the deep-learned features gives better disparities as compared to the one which uses basic gradient features.

We now demonstrate the performance of our approach by varying the number of layers in the feature matching cost  $E_F(d)$ . Once again, we consider the same energy function consisting of data term  $E_D(d)$  and IGMRF prior where  $E_D(d)$  is defined using  $E_I(d)$  and  $E_F(d)$ . We first obtained the disparity map when  $E_F(d)$  is defined using the learned features of first layer only. Next, the results are obtained when  $E_F(d)$  is defined using the learned features of both first and second layers. In other words, we consider  $NL = 1$  and  $NL = 2$  in Eq. (8) for these two cases. Figure 5 shows that the performance improves when we

use two-layer feature matching. We also experimented with the use of three layers but we did not find significant improvement when the number of layers  $NL$  is greater than 2 (see Fig. 5). Based on these observations, we used only two-layer deep deconvolutional network in our work. This shows the effectiveness of the use of deep learning with limited number of layers.

### 7.3 Performance evaluation using different prior terms $E_P(d)$ with proposed $E_D(d)$

As discussed earlier, the prior term  $E_P(d)$  in our energy function is defined using the combination of IGMRF and sparsity priors. We consider the energy function consists of proposed data term  $E_D(d)$  and  $E_P(d)$  and evaluate the performance of our approach using different choices of  $E_P(d)$ . For doing the same, we first choose  $E_P(d)$  as  $E_{IGMRF}(d)$  and compare by choosing other discontinuity preserving MRF priors such as truncated quadratic, truncated linear, and Potts models. The results in Table 2 show that the approach using the IGMRF prior combined with proposed  $E_D(d)$  performs significantly better when compared to the use of other discontinuity preserving priors. This shows the effectiveness of using IGMRF prior since it better captures the spatial variation among disparities. We then evaluate the performance by choosing  $E_P(d)$  as a combination of  $E_{IGMRF}(d)$  and  $E_{sparse}(d)$ . For this, we consider three cases. In the first case, the  $E_{sparse}(d)$  is obtained using the fixed DCT bases, in the second case, it is learned using the K-SVD dictionary learning method, and in the last case, we define the  $E_{sparse}(d)$  using the proposed sparse autoencoder. As seen from the Table 2, the results are significantly improved when the sparsity prior is combined with the IGMRF prior and the proposed data term. This is expected because IGMRF and sparsity priors together capture the disparity characteristics



**Fig. 5** Results in terms of percentage of bad matching pixels using proposed  $E_D(d)$  with IGMRF prior by varying the number of layers  $NL$  in  $E_F(d)$

**Table 2** Performance evaluation using different prior terms  $E_P(d)$  with proposed  $E_D(d)$ . The errors are shown in terms of bad matching pixels and these are computed over the whole image with  $\delta=1$

$E_P(d)$	Venus	Teddy	Cones
Truncated quadratic	1.95	15.38	11.62
Truncated linear	0.91	12.86	10.96
Potts	1.11	13.93	11.01
$E_{\text{IGMRF}}(d)$	0.40	11.41	9.64
$E_{\text{IGMRF}}(d)+E_{\text{sparse}}(d)$ using DCT	0.38	11.1	9.36
$E_{\text{IGMRF}}(d)+E_{\text{sparse}}(d)$ using K-SVD	0.30	10.60	9.12
$E_{\text{IGMRF}}(d)+E_{\text{sparse}}(d)$ using autoencoder	0.20	9.76	8.46

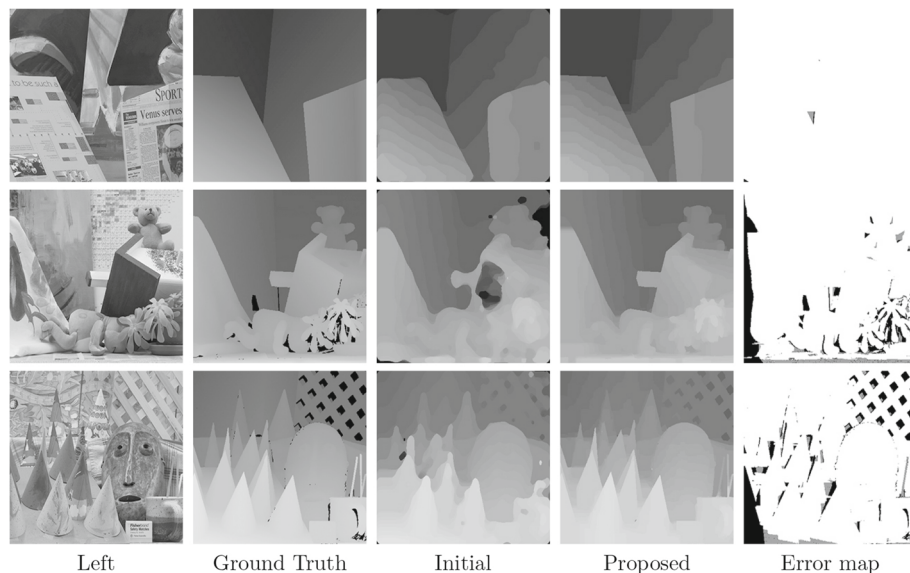
in different ways and their combination serves as a better regularizer. The results also show that the use of sparsity prior obtained using proposed sparse autoencoder perform better when compared to those obtained using K-SVD or fixed basis. This is because the sparseness is better captured by the learned weights of autoencoder.

#### 7.4 Qualitative and quantitative assessment and comparison with state of the art methods

Here, we first show the qualitative and quantitative performances of our algorithm experimented using Middlebury stereo 2001 and 2003 datasets [2]. Figure 6 shows the estimated disparity maps of the proposed approach using these datasets. One can see that the final disparity maps

are piecewise smooth and visually plausible. We also display the error maps associated with the final disparity maps as shown in the last column of Fig. 6. The error maps show the regions where the estimated disparities differ from the ground truth (black and gray regions correspond to errors in occluded and non occluded regions, respectively and white indicates no error). We can see that the proposed method has higher accuracy in discontinuous as well as nonoccluded regions. This is because the IGMRF prior preserves the discontinuities and the sparsity prior learns the edge-like sparse features in disparity map, and using these two with the proposed data term produces accurate disparities. As can be seen from Fig. 6, our method not only preserves geometrical details near depth discontinuities but performs better in textureless regions as well. We mention here that although we do not consider occlusions in our problem formulation, our method works well in these regions as well. Performance improvement in occluded regions is due to the presence of data term truncation thresholds, i.e.,  $\tau = \{\tau^I, \tau^F\}$ .

The quantitative assessment of our algorithm experimented using Middlebury stereo 2001 and 2003 datasets [2] is shown in Table 3. In order to validate the results of our method, we compare it with state-of-the-art global dense stereo methods in terms of percentage of bad matching pixels ( $B\%$ ). The compared approaches include feature based [16–18] and regularization based such as MRF priors [36–39], Mumford Shah regularization [51], ground control points [52], learned conditional random field (CRF) [53], and sparsity prior [42, 46] methods.



**Fig. 6** Experimental results for the Middlebury stereo 2001 and 2003 datasets [2], Venus (first row), Teddy (second row), and Cones (third row). The left image  $I_L$  and the ground truth disparity map are shown in first and second columns, respectively. The third column shows the initial disparity map used in optimizing the energy function given in Eq. (17). The final disparity and the error maps estimated using the proposed method are shown in the fifth and the sixth columns, respectively



**Table 3** Quantitative evaluation on Middlebury stereo 2001 and 2003 datasets [2] and comparison with state-of-the-art global dense stereo methods in terms of bad matching pixels over entire image as well as non occluded regions with  $\delta = 1$ 

Method	Venus		Teddy		Cones	
	All	Nonocc	All	Nonocc	All	Nonocc
Initial	3.47	2.00	19.65	5.61	16.43	7.15
Proposed	<i>0.20</i>	<i>0.10</i>	9.76	3.44	8.46	2.36
AdaptBP [16]	0.21	0.10	7.06	4.22	7.92	2.48
DoubleBP [38]	0.45	0.13	8.30	3.53	8.78	2.90
GCP [52]	0.53	0.16	11.5	6.44	9.49	3.59
TwoStep [17]	0.45	0.27	12.6	7.42	10.1	4.09
SemiGlob [18]	1.57	1.00	12.2	6.02	9.75	3.06
ZOP [39]	0.49	0.24	15.4	10.9	10.8	5.42
CompSens [42]	0.68	0.31	13.30	7.88	9.79	3.97
MultiGC [37]	3.13	2.79	17.6	12.0	11.8	4.89
Mumford [51]	0.76	0.28	14.3	9.34	9.91	4.14
GC [36]	3.44	1.79	25.0	16.5	18.2	7.70
CRF [53]	1.3	–	11.1	–	10.8	–
Sparse [46]	–	–	11.98	–	8.14	–

Here, *en dash* indicates the result not reported. First row shows the results using initial estimate

These results are compared without using any post processing operations. We do not compare our method with global stereo methods based on handcrafted and learned features [19–21, 27] since their results are not available for the Middlebury datasets. As seen from the Table 3, our method performs best among all the other methods in nonoccluded regions. It also gives least bad matching pixels over entire image as well as in nonoccluded regions for the Venus stereo pair. We see that the overall performance of the proposed method is comparable

to state-of-the-art global stereo methods. The results also indicate the effectiveness of the proposed energy function in the global energy minimization framework for dense disparity estimation.

Finally, we show the qualitative and quantitative performance of our algorithm experimented on Middlebury stereo 2014 datasets [2] that consists of 15 training and 15 test stereo pairs. Figure 7 shows the estimated disparity maps of the proposed approach using some of these datasets. In order to validate and compare the



**Fig. 7** Experimental results for the Middlebury stereo 2014 datasets [2], *Adirondack*, *Motorcycle*, *Pipes*, *Playroom*, *PlaytableP*, *Recycle*, *Shelves*, *Vintage*. The left image  $L_L$ , ground truth and disparity map estimated using the proposed method for each stereo pair are shown in the first, second, and third rows, respectively

performance of our method with other latest stereo methods listed on [2], we submitted these estimated disparity maps online to the server available on Middlebury website [2] which in turn returned the overall evaluation and comparison chart. Since the test dataset does not have ground truth, evaluation is only done by submitting the estimated disparity maps on this online server. We mention here that one cannot adjust the parameters for test datasets because the submission can be done only once. The qualitative and quantitative results and the comparisons can be seen on Middlebury stereo evaluation page. We achieve a ranking of 43 for training set and ranking of 48 on test set. Our method does not rank among the top methods because the accuracy of our method is sensitive to the parameters of the model. One can enhance the results by carefully choosing the parameters. Experimental results indicate that our method is better than the state-of-the-art regularization-based methods and comparable to other latest stereo methods.

## 8 Conclusion

We have presented a new approach for dense disparity map estimation based on inhomogeneous MRF and sparsity priors in an energy minimization framework. The data term is defined using the combination of intensity and the learning-based multilayer feature matching costs. The feature matching cost is defined over the deep learned features of given stereo pair, and we have used deep deconvolutional network for learning these hierarchical features. The IGMRF prior captures the smoothness in disparities and preserves the discontinuities in terms of IGMRF parameters. The sparsity prior is defined over the learned sparseness of disparities where the sparse representation of disparities are learned using the sparse autoencoder. We have presented an iterative two-phase algorithm for disparity estimation where in phase one, the disparity map is estimated by minimizing our energy function using graph cuts and in phase two, the IGMRF parameters and sparse representation of disparity maps are obtained. Experiments conducted on various datasets of Middlebury site verify the effectiveness of the proposed data term, IGMRF, and sparsity priors when used in an energy minimization framework. Performance of the proposed method is comparable to many of the better performing and latest dense stereo methods.

### Authors' contributions

Both authors have equally contributed to the manuscript. Both authors read and approved the final manuscript.

### Authors' information

Sonam Nahar received the B.E. degree in Information Technology from Manikya Lal Verma Textile Engineering College, Bhilwara, India, in 2008, and M.Tech. degree in Information and Communication Technology from Dhirubhai Ambani Institute of Information and Technology (DA-IICT), Gandhinagar, India, in 2010. She is currently pursuing the Ph.D degree from DA-IICT, Gandhinagar, India, and serving as an Assistant Professor with The

Laxmi Niwas Mittal Institute of Information Technology (LNMIIT), Jaipur, India, in Computer Science and Engineering Department. Her research interests include computer vision, image processing, and deep learning. Manjunath V. Joshi received the B.E. degree from the University of Mysore, Mysore, India, and the M.Tech. and Ph.D. degrees from the Indian Institute of Technology Bombay (IIT Bombay), Mumbai, India. Currently, he is serving as a Professor with the Dhirubhai Ambani Institute of Information and Communication Technology, Gandhinagar, India. He has been involved in active research in the areas of signal processing, image processing, and computer vision. He has coauthored two books entitled Motion-Free Super Resolution (Springer, New York) and Digital Heritage Reconstruction Using Super resolution and Inpainting (Morgan and Claypool). Dr. Joshi was a recipient of the Outstanding Researcher Award in Engineering Section by the Research Scholars Forum of IIT Bombay. He was also a recipient of the Best Ph.D. Thesis Award by Infineon India and the Dr. Vikram Sarabhai Award in the field of information technology constituted by the Government of Gujarat, India.

### Competing interests

The authors declare that they have no competing interests.

### Author details

<sup>1</sup>The LNM Institute of Information Technology, Jaipur, India. <sup>2</sup>Dhirubhai Ambani Institute of Information Technology, Gandhinagar, India.

Received: 15 April 2016 Accepted: 27 December 2016

Published online: 09 February 2017

### References

- Scharstein D, Szeliski R, Zabih R (2002) A taxonomy and evaluation of dense two-frame stereo correspondence algorithms. *Int J Comput Vis* 47(1/2/3):7–42
- Scharstein D, Szeliski R, Zabih R (1987) Middlebury Stereo. <http://vision.middlebury.edu/stereo>
- Kanade T, Okutomi M (1994) A stereo matching algorithm with an adaptive window: theory and experiment. *Pattern Anal Mach Intell IEEE Trans* 16(9):920–932
- Fusiello A, Roberto V, Trucco E (1997) Efficient stereo with multiple windowing. In: *Proceedings of IEEE Computer Society Conference on Computer Vision and Pattern Recognition*. pp 858–863. doi:10.1109/CVPR.1997.609428
- Yoon KJ, Kweon IS (2006) Adaptive support-weight approach for correspondence search. *Pattern Anal Mach Intell IEEE Trans* 28(4):650–656
- Hosni A, Rhemann C, Bleyer M, Rother C, Gelautz M (2013) Fast cost-volume filtering for visual correspondence and beyond. *Pattern Anal Mach Intell IEEE Trans* 35(2):504–511
- Kolmogorov V, Zabih R (2004) What energy functions can be minimized via graph cuts? *Pattern Anal Mach Intell IEEE Trans* 26(2):147–159
- Sun J, Zheng NN, Shum HY (2003) Stereo matching using belief propagation. *Pattern Anal Mach Intell IEEE Trans* 25(7):787–800
- Tappen MF, Freeman WT (2003) Comparison of graph cuts with belief propagation for stereo, using identical MRF parameters, vol.2. In: *Proceedings Ninth IEEE International Conference on Computer Vision* Vol. 2. pp 900–906. doi:10.1109/ICCV.2003.1238444
- Hirschmuller H, Scharstein D (2007) Evaluation of cost functions for stereo matching. In: *2007 IEEE Conference on Computer Vision and Pattern Recognition*. pp 1–8. doi:10.1109/CVPR.2007.383248
- Tola E, Lepetit V, Fua P (2010) Daisy: An efficient dense descriptor applied to wide-baseline stereo. *Pattern Anal Mach Intell IEEE Trans* 32(5):815–830
- Joglekar J, Gedam SS, Mohan BK (2014) Image matching using sift features and relaxation labeling technique: a constraint initializing method for dense stereo matching. *Geosci Remote Sensing, IEEE Trans* 52(9):5643–5652
- Grimson WEL (1985) Computational experiments with a feature based stereo algorithm. *Pattern Anal Mach Intell IEEE Trans* 7(1):17–34
- Ayache N, Faverjon B Efficient registration of stereo images by matching graph descriptions of edge segments. *International Journal of Computer Vision*:107–131
- Hong L, Chen G (2004) Segment-based stereo matching using graph cuts. In: *Proceedings of the 2004 IEEE Computer Society Conference on Computer Vision and Pattern Recognition, 2004. CVPR 2004, Vol.1* I-74-I-81. doi:10.1109/CVPR.2004.1315016

16. Klaus A, Sormann M, Karner K (2006) Segment-based stereo matching using belief propagation and a self-adapting dissimilarity measure, vol.3. In: 18th International Conference on Pattern Recognition (ICPR'06). pp 15–18. doi:10.1109/ICPR.2006.1033
17. L. Wang ZL, Zhang Z (2014) Feature based stereo matching using two-step expansion. *Math Probl Eng* 14:14
18. Hirschmüller H (2008) Stereo processing by semi-global matching and mutual information. *Pattern Anal Mach Intell IEEE Trans* 30(2):328–341
19. Liu C, Yuen J, Torralba A (2011) Sift flow: dense correspondence across scenes and its applications. *Pattern Anal Mach Intell IEEE Trans* 33(5):978–994
20. Kim J, Liu C, Sha F, Grauman K (2013) Deformable spatial pyramid matching for fast dense correspondences. In: 2013 IEEE Conference on Computer Vision and Pattern Recognition. pp 2307–2314. doi:10.1109/CVPR.2013.299
21. Saxena A, Chung SH, Ng AY (2007) 3-D depth reconstruction from a single still image. *Int J Comput Vis* 76:2007
22. Vincent P, Larochelle H, Lajoie I, Bengio Y, Manzagol P (2010) Stacked denoising autoencoders: learning useful representations in a deep network with a local denoising criterion. *J Mach Learn Res* 11:3371–3408
23. Krizhevsky A, Sutskever I, Hinton GE (2012) Imagenet classification with deep convolutional neural networks. In: *Advances in Neural Information Processing Systems* 25. pp 1097–1105
24. Bengio Y (2009) Learning deep architectures for AI. *Foundations Trends Mach Learn* 2(1):1–127
25. Dong C, Loy CC, He K, Tang X (2015) Image super-resolution using deep convolutional networks. *CoRR abs/1501.00092*
26. Zbontar J, LeCun Y (2014) Computing the stereo matching cost with a convolutional neural network. *CoRR abs/1409.4326*
27. Zhang C, Shen C (2015) Unsupervised feature learning for dense correspondences across scenes. *CoRR abs/1501.00642*
28. Poultney C, Chopra S, Lecun Y (2006) Efficient learning of sparse representations with an energy-based model. In: *Advances in Neural Information Processing Systems*
29. Lee H, Ekanadham C, Ng AY (2007) Sparse deep belief net model for visual area v2. In: *Neural Information Processing Systems*. pp 873–880
30. Hinton GE, Osindero S (2006) A fast learning algorithm for deep belief nets. *Neural Comput* 18:2006
31. Zeiler MD, Krishnan D, Taylor GW, Fergus R (2010) Deconvolutional networks. In: 2010 IEEE Computer Society Conference on Computer Vision and Pattern Recognition. pp 2528–2535. doi:10.1109/CVPR.2010.5539957
32. Zeiler MD, Taylor GW, Fergus R (2011) Adaptive deconvolutional networks for mid and high level feature learning. In: *Computer Vision, IEEE International Conference On*. pp 2018–2025
33. Jarrett K, Kavukcuoglu K, Ranzato MA, Lecun Y (2009) What is the best multi-stage architecture for object recognition? In: 2009 IEEE 12th International Conference on Computer Vision. pp 2146–2153. doi:10.1109/ICCV.2009.5459469
34. Li SZ (1995) Markov random field modeling in computer vision. Springer, New York
35. Roy S (1999) Stereo without epipolar lines: a maximum-flow formulation. *Int J Comput Vis* 34(2–3):147–161
36. Boykov Y, Veksler O, Zabih R (2001) Fast approximate energy minimization via graph cuts. *Pattern Anal Mach Intell IEEE Trans* 23(11):1222–1239
37. Kolmogorov V, Zabih R (2002) Multi-camera scene reconstruction via graph cuts. In: *Computer Vision, European Conference On*. pp 82–96
38. Yang Q, Wang L, Yang R, Stewenius H, Nister D (2009) Stereo matching with color-weighted correlation, hierarchical belief propagation, and occlusion handling. *Pattern Anal Mach Intell IEEE Trans* 31(3):492–504
39. Woodford O, Torr P, Reid I, Fitzgibbon A (2008) Global stereo reconstruction under second order smoothness priors. In: *Computer Vision and Pattern Recognition, IEEE Conference On*. pp 1–8
40. Zhang L, Seitz SM (2005) Parameter estimation for MRF stereo, vol.2. In: 2005 IEEE Computer Society Conference on Computer Vision and Pattern Recognition (CVPR'05), Vol. 2. pp 288–295. doi:10.1109/CVPR.2005.269
41. Jalobeanu A, Blanc-Feraud L, Zerubia J (2004) An adaptive gaussian model for satellite image deblurring. *Image Process IEEE Trans* 13(4):613–621
42. Hawe S, Kleinsteuber M, Diepold K (2011) Dense disparity maps from sparse disparity measurements. In: 2011 International Conference on Computer Vision. pp 2126–2133. doi:10.1109/ICCV.2011.6126488
43. Elad M, Aharon M (2006) Image denoising via sparse and redundant representations over learned dictionaries. *Image Process IEEE Trans* 15(12):3736–3745
44. Xie J, Xu L, Chen E (2012) Image denoising and inpainting with deep neural networks. In: *Advances in Neural Information Processing Systems* 25. pp 350–358
45. Aharon M, Elad M, Bruckstein A (2006) K-SVD: An algorithm for designing overcomplete dictionaries for sparse representation. *Signal Process IEEE Trans* 54(11):4311–4322
46. Tosic I, Olshausen BA, Culpepper BJ (2011) Learning sparse representations of depth. *Selected Topics Signal Process IEEE J* 5(5):941–952
47. Birchfield S, Tomasi C (1998) A pixel dissimilarity measure that is insensitive to image sampling. *Pattern Anal Mach Intell IEEE Trans* 20(4):401–406
48. Joshi M, Jalobeanu A (2010) Map estimation for multiresolution fusion in remotely sensed images using an IGMRF prior model. *Geosci Remote Sensing IEEE Trans* 48(3):1245–1255
49. Gajjar PP, Joshi MV (2010) New learning based super-resolution: use of DWT and IGMRF prior. *Image Process IEEE Trans* 19(5):1201–1213
50. Mitchell TM (1997) Machine learning. McGraw-Hill, New York, USA
51. Ben-Ari R, Sochen N (2010) Stereo matching with Mumford-Shah regularization and occlusion handling. *Pattern Anal Mach Intell IEEE Trans* 32(11):2071–2084
52. Wang L, Yang R (2011) Global stereo matching leveraged by sparse ground control points. In: *CVPR 2011*. pp 3033–3040. doi:10.1109/CVPR.2011.5995480
53. Scharstein D, Pal C (2007) Learning conditional random fields for stereo. In: *Computer Vision and Pattern Recognition, IEEE Conference On*. pp 1–8

**Submit your manuscript to a SpringerOpen<sup>®</sup> journal and benefit from:**

- Convenient online submission
- Rigorous peer review
- Immediate publication on acceptance
- Open access: articles freely available online
- High visibility within the field
- Retaining the copyright to your article

---

Submit your next manuscript at ► [springeropen.com](http://springeropen.com)